

PPS GLOBAL EQUITY FUND INTERMEDIARY FORM



PRESCIENT
GLOBAL FUNDS

PROFESSIONAL PROVIDENT SOCIETY INVESTMENTS PROPRIETARY LIMITED ("PPS INVESTMENTS")
CLIENT SERVICE CENTRE CONTACT DETAILS

TEL: 0860 468 777 (0860 INV PPS)
EMAIL: admin@ppsinvestments.co.za

FAX: 021 680 3680
WEBSITE: www.pps.co.za/invest

Supporting documentation

Copy of new intermediary ID

**Please note, this is a fillable form. Please print, sign where required and send to the details above for processing.
All fields are compulsory unless otherwise stated.**

A FINANCIAL ADVISER DETAILS

Title First name(s)
Surname Date of birth
Identity or passport number (if foreign national) Country of passport
Mobile number Office number
Email (for personal communication)
Email (for administrative communication)
Signature of financial adviser Date

B FSP DETAILS

Registration name
Trading name
Registration number Income Tax number
VAT number FSP licence number
Capacity in which the above financial adviser represents the FSP: Key individual Representative

C AUTHORISED SIGNATORY ON BEHALF OF FINANCIAL SERVICES PROVIDER

Full name and surname
Capacity of signatory
Signature Date

D FSP BANKING DETAILS

Please complete the bank account details which relate to this instruction.

Please note: Payments are made electronically and we will not make any payments to credit cards, market-linked accounts or third party bank accounts.

Account Holder Name
Bank Account number
Branch Branch code
Account type Current Savings Transmission

E AUTHORISED SIGNATORY ON BEHALF OF PPS INVESTMENTS GROUP

Full name and surname

Capacity of signatory

Signature

Date

F TERMS AND CONDITIONS

All references to the singular include the plural.

Declaration

You confirm that you have an existing financial service provider contract with PPS Investments Group [PPS Investments Proprietary Limited, PPS Multi-Managers Proprietary Limited, PPS Investment Administrators, PPS Nominees and PPS Management Company (RF) Proprietary Limited]. You acknowledge that by completing this application form you are applying to represent investors in respect of the PPS Global Equity Fund. Should your application be accepted by PPS Investments Group and Prescient Fund Services (Ireland) Limited ("PFSI"), the appointed administrator of the PPS Global Equity Fund, your existing financial service provider contract will be amended as provided for below and you will be permitted to sell the PPS Global Equity Fund. Once your application has been processed you will be issued a financial adviser code by PFSI.

Offshore Fees

Both initial and on-going annual advice fees will only be paid on a quarterly basis and provided that such fees amount to at least the equivalent of \$250, or such lesser amount determined by PPS Investments Group from time to time. PPS Investments Group or PFSI is entitled to recoup any bank charges that they may incur in processing the payment of advice fees in respect of the PPS Global Equity Fund. PPS Investments Group or PFSI is entitled to change the processing and payment dates and minimum fee amounts on written notice to you. PPS Investments Group will inform you of any delays that may occur in the payment of your fees.

Indemnity

By signing this contract, you indemnify and hold PPS Investments Group and PFSI harmless against any and/or all claims, demands, penalties, losses, damages, expenses and/or charges of whatsoever nature suffered by PPS Investments group or PFSI, including clients, as a result of your negligence, fraud, wilful misconduct and/or a breach of any provision of your wvexisting financial service provider contract or warranty, undertaking or representation, including but not limited to:

- A misuse of PPS Investments Group or PFSI or the PPS Global Equity Fund information;
- A misrepresentation of your financial services registration status to a client;
- The provision of advice to a client;

You further undertake to advise PPS Investments Group in a timely manner, in writing, if any of the details you have supplied or submitted to PPS Investments Group or PFSI change after you have signed this application form, related documents and agreements.

Confirmation

You acknowledge that you have received, read, understood and hereby agree to be bound by these terms and conditions. You further acknowledge and agree that these terms and conditions, and your existing financial service provider agreement constitutes the entire agreement between you and PPS Investments Group.

Contact us