

# Useful information about linking qualifying assets



I N V E S T M E N T S

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## Useful information

- The assets used to calculate your profit allocations from PPS Investments may now include the assets of an investor(s) linked to your PPS member number as at the financial year end (31 December). These include and are limited to the assets of a linked investor(s) who is/are your Spouse, Life Partner, Parent(s) and/or Child(ren).
- PPS members can only be linked to a Spouse's, Life Partner's, Parent(s)' and/or Child(ren)'s PPS Investments client number as defined within this application form.
- The investment size of the linked Spouse, Life Partner, Parent(s) and/or Child(ren) will be included in the investment size of the eligible PPS member during the PPS member's annual profit allocation calculation.
- The linking of a PPS member number to the PPS Investments client number of a Spouse, Life Partner, Parent(s) and/or Child(ren) will only apply to the profit contributions related to qualifying assets with PPS Investments. It will not apply to any other PPS Group products.
- A linked Spouse, Life Partner, Parent(s) and/or Child(ren) will have no claim to any historical or future profit allocations made to the PPS member.
- The status of actively linked accounts will be determined on the last business day of the financial year irrespective of the status during the relevant financial year. The date of the linking application approval in a financial year does not impact the profit allocation calculation and this is determined purely with reference to the linking status at the last business day of the financial year.
- Proof of relationship to a Spouse, Life Partner, Parent(s) and/or Child(ren) is required before the linking application can be processed. PPS may request additional proof of relationship at any stage thereafter. PPS also holds the right to reject linking applications and to remove the linking status in future should sufficient proof of the relationship not be provided upon request.
- PPS will remove the linking upon receipt of a written request, completed in the format as prescribed by PPS from time to time, from a Spouse, Life Partner, Parent and/or Child (or his/her parent or legal guardian).
- Please note that no information will be shared with respect to any transactional investment information or investment statements with the PPS member making this application.

## Consider getting financial advice

PPS Investments does not provide financial advice. However, we believe in the merits of good financial advice. If you are not comfortable making your own investment decisions, consider using the services of an accredited financial adviser.

# PPS MEMBER APPLICATION FOR LINKING FORM



INVESTMENTS

## A CONTACT PERSON FOR OUTSTANDING REQUIREMENTS

Name and surname

Telephone number

Email

Capacity

## B PERSONAL DETAILS OF MEMBER

Title First name and surname

Identity or passport number

PPS member number

## C INFORMATION ON SPOUSE OR LIFE PARTNER

A "Spouse" shall mean a person with whom the PPS member to be linked is legally married at the date of application for linking. A "Marriage" for the purpose of linking of a PPS member number shall be restricted to the following relationships:

- Civil Marriage concluded and duly registered in terms of the Marriage Act of 1961; or
- Customary Marriage concluded and duly registered in terms of the Customary Marriages Act of 1998; or
- A Civil Union, in the form of either a marriage or civil partnership, concluded and duly registered in terms of the Civil Union Act of 2006;

and for which a valid marriage certificate can be produced.

A "Life Partner" shall mean a person with whom the PPS member to be linked has entered into a written domestic or cohabitation agreement and where such domestic or cohabitation agreement can be produced.

### Proof of relationship required with the application:

Marriage certificate in relation to the linking of a Spouse; or

Domestic or cohabitation agreement and a Spousal Affidavit, in relation to the linking of a Life Partner, where required.

Title First name and surname

Identity or passport number

PPS Investments client number

### For completion by Spouse or Life Partner:

I hereby acknowledge that I am aware that the PPS member, whose details are in Part B, is submitting this application to have his/her PPS member number linked to my PPS Investments client number and that I am the Spouse or Life Partner of the PPS member applying for linking. I also hereby consent to the sharing of my personal information, as defined in any applicable legislation, with the PPS member making this application.

I understand that if I currently am or become a PPS member I will not receive profit allocations for qualifying assets. The PPS member linked to these accounts will receive profit allocations on these qualifying assets until the submission of a request for the link to be removed from the PPS member number. In this event I may apply to be linked to the qualifying assets of my own PPS member number.

### Please note:

- The above definition of "Life Partner" will only apply in terms of linking as discussed herein.
- No information will be shared with respect to any transactional investment information or investment statements with the PPS member making this application.

Signed at \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Signature of Spouse or Life Partner

## D INFORMATION ON PARENT(S)

A "Parent" shall mean, in relation to the PPS member, the biological parents of the member and shall include adoptive parents of the member.

### Proof of relationship required with the application:

Birth certificate; or

Adoption court order, where required.

#### Parent A

Title First name and surname

Identity or passport number

PPS Investments client number

#### Parent B

Title First name and surname

Identity or passport number

PPS Investments client number

### For completion by Parent(s):

I hereby acknowledge that I am aware that the PPS member, whose details are in Part B, is submitting this application to have his/her PPS member number linked to my PPS Investments client number and that I am the Parent of the PPS member applying for linking. I also hereby consent to the sharing of my personal information, as defined in any applicable legislation, with the PPS member making this application.

I understand that if I current am or become a PPS member I will not receive profit allocations for qualifying assets. The PPS member linked to these accounts will receive profit allocations on these qualifying assets until the submission of a request for the link to be removed from the PPS member number. In this event I may apply to be linked to the qualifying assets of my own PPS member number.

#### Please note:

- The above definition of "Parent" will only apply in terms of linking as discussed herein.
- No information will be shared with respect to any transactional investment information or investment statements with the PPS member making this application.

#### Parent A

Signed at \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_ 20

Signature Parent A

#### Parent B

Signed at \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_ 20

Signature Parent B

## E INFORMATION ON CHILD(REN)

A "Child" shall mean a person who is either a) a biological Child, b) a legally-adopted Child, or c) a Step-Child of the PPS member to be linked and who is the biological or legally-adopted Child of the Spouse of the PPS member to be linked at the date of linking application, and who is below the age of 21 years.

### Proof of relationship required with the application:

Birth certificate; or

Adoption certificate, where required.

A marriage certificate for a Step-Child(ren) can be added with either of the above.

	First name(s) of Child	Surname of Child	PPS Investments Client Number
1			
2			
3			
4			

**For completion by the parent/guardian (where the Child(ren) is/are under 18 years of age):**

I, on behalf of the Child(ren), hereby acknowledge that I am aware that the PPS member, whose details are in Part B, is submitting this application to have their PPS member number linked to the PPS Investments client number(s) above and that I am the parent/guardian of the Child(ren). I also hereby consent to the sharing of the Child(ren)'s personal information, as defined in any applicable legislation, with the PPS member making this application.

I understand that if the Child(ren) become(s) a PPS member(s), they will not receive profit allocations for qualifying assets. The PPS member linked to these accounts will receive profit allocations on these qualifying assets until the submission of a request for the link to be removed from the PPS member number. In this event the child(ren) may apply to be linked to the qualifying assets of their own PPS member number(s).

**Please note:**

No information will be shared with respect to any transactional investment information or investment statements with the PPS member making this application.

	<b>First name(s) and surname of Parent/ Guardian</b>	<b>Signed at</b>	<b>Date of signing</b>	<b>Signature</b>
<b>1</b>				
<b>2</b>				
<b>3</b>				
<b>4</b>				

**For completion by the Child(ren) (where the Child(ren) is/are between the ages of 18 and 21 years):**

I hereby acknowledge that I am aware that the PPS member, whose details are in Part B, is submitting this application to have their PPS member number linked to my PPS Investments client number and that I am the Child of the PPS member applying for linking. I also hereby consent to the sharing of my personal information, as defined in any applicable legislation, with the PPS member making this application.

I understand that if currently am or become a PPS member, I will not receive profit allocations for qualifying assets. The PPS member linked to these accounts will receive profit allocations on these qualifying assets until the submission of a request for the link to be removed from the PPS member number. In this event I may apply to be linked to the qualifying assets of my own PPS member number.

**Please note:**

No information will be shared with respect to any transactional investment information or investment statements with the PPS member making this application.

	<b>First name(s) and surname of Child</b>	<b>Signed at</b>	<b>Date of signing</b>	<b>Signature</b>
<b>1</b>				
<b>2</b>				
<b>3</b>				
<b>4</b>				

**F DECLARATION BY PPS MEMBER**

I, \_\_\_\_\_, agree and declare that:

- I am applying for my PPS member number to be linked to the PPS Investments client number(s) of my Spouse, Life Partner, Parent(s) and/or Child(ren) indicated on this application.
- I am aware that linking my PPS member number to a PPS Investments client number will not automatically lead to qualification for profit allocations based on my Spouse's, Life Partner's, Parent(s)' and/or Child(ren)'s investment size, and that only PPS members with an active PPS Profit-Share Account or Vested PPS Profit-Share Account who have an active\* investment at PPS Investments will qualify for profit allocations.
- The PPS Investments client number(s) which I am applying to have linked to my PPS member number, are held in the name of my Spouse, Child(ren), Life Partner and/or Parent(s) as defined in Part C, D and/or E, above.
- I agree that PPS may, upon receipt of a linking application, request the PPS member to submit such proof as PPS may, in its sole discretion, require in order to satisfy itself that the requirements for the linking of the PPS Investments client number have been complied with. This will include, but may not necessarily be limited to, proof of relationship specified in Part C, D and/or E, above.
- The assets used to calculate my profit allocations from PPS Investments may now include the assets of an investor linked to my PPS member number as at the financial year end (31 December). These include and are limited to the assets of a linked investor(s) who is/are my Spouse, Life Partner, Parent(s) and/or Child(ren).
- I understand that I will need to hold an active PPS Profit-Share Account or Vested PPS Profit-Share Account and have an active\* investment at PPS Investments in order to qualify for linking and that upon cancellation of my PPS Profit-Share Account my linking to PPS Investments client number(s) will be removed.
- I understand that the linking to PPS Investments client number(s) will only apply to the profit contributions related to qualifying assets with PPS Investments. It will not apply to any other PPS Group products.
- I understand that the account holder(s) of the PPS Investments client number(s) that I am being linked to will not have any claim to historical or future profit allocations I received due to my PPS member number being linked to their accounts.
- I understand that the qualifying assets of the PPS Investments client number that I am linked to will only be included in a financial year's profit allocation calculation if I am actively linked at the last business day of the relevant financial year end, irrespective of the linking status during the year.
- I understand that PPS Investments will unlink me from any PPS Investments client number upon receipt of a written request from the account holder of the linked PPS Investments client number (or his/her parent or legal guardian).

- I am aware that only one PPS member number may be linked to a PPS Investments client number and that if a PPS Investments client number has a pre-existing link, any linking requests will be rejected until a written request is received for the existing linking to be removed.
- I declare that the account holder of the PPS Investments client number that I am applying to be linked to is aware of this linking request and has completed Part C, D and/or E of this application form (where applicable). I understand that I do not have any rights or obligations to the investment accounts of the PPS Investments client number(s) that I am being linked to.
- I am aware that my PPS member number will be unlinked from all PPS Investments client numbers upon my death or upon cancelation of my PPS Profit-Share Account or Vested PPS Profit-Share Account.
- I confirm that the information contained herein is correct.
- I understand that profit allocations, which include the allocations in respect of linked qualifying assets, are governed by the provisions of the PPS Provider Policy and are subject to change.

\*An investor is considered to have an active investment when their total assets under management on the PPS Investments platform exceeds R500 at the end of at least one month in the relevant year.

Signed at \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

PPS member signature

PPS Investments (Pty) Ltd, PPS Multi-Managers (Pty) Ltd, PPS Investment Administrators (Pty) Ltd and PPS Insurance Company Ltd are licensed financial services providers. Coronation Life Assurance Company Limited is registered in terms of the Long Term Insurance Act 53 of 1998 to carry on long term insurance business. PPS Management Company (Pty) Ltd (RF) is a licensed collective investment scheme manager. PPS Nominees (Pty) Ltd is an independent nominee company approved by the Financial Sector Conduct Authority.

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