

FINANCIAL ADVISER APPOINTMENT OR ADVICE FEE CHANGE INSTRUCTION



INVESTMENTS

PROFESSIONAL PROVIDENT SOCIETY INVESTMENTS PROPRIETARY LIMITED ("PPS INVESTMENTS")
CLIENT SERVICE CENTRE CONTACT DETAILS

TEL: 0860 468 777 (0860 INV PPS)
EMAIL: admin@ppsinvestments.co.za

FAX: 021 680 3680
WEBSITE: www.ppsinvestments.co.za

- Please complete the form in BLOCK LETTERS
- Please initial any amendments made to this application form
- The cut-off for receipt of instruction is **14:00**
- Product Terms, Conditions and Declarations are available on the PPS Investments website

I would like to:

Appoint a financial adviser and/or

Change financial adviser fee

The change will be applied to all your investments unless specified differently below:

Specific investment numbers (starts with POL or INV)

A CONTACT PERSON FOR OUTSTANDING REQUIREMENTS

Name and surname

Telephone number

Email

Capacity

B PERSONAL DETAILS OF INVESTOR

Title Surname / Name of entity

First name(s) or initial, surname and designation of contact person of entity

Identity or passport number

C FINANCIAL ADVICE FEES

I wish to appoint the financial adviser specified in section E and agree to the payment of advice fees as specified below.

Initial debit order fee*

Ongoing fees per annum*

Max. 3% (excl. VAT)

Max. 1% (excl. VAT)

The Administrator will pay ongoing advice fees to your financial adviser on your behalf and will recover these fees from your investment. These fees will therefore accrue to the Administrator as an additional fee over and above the administration fee applicable to your investment in terms of PPS Investments' fee structure.

D CLIENT DECLARATION

I,

hereby confirm that:

- All information provided in this form and all other documents signed by me, whether in my handwriting or not, is correct
- I am responsible for the accuracy and completeness of all answers, statements or other information provided by me or on my behalf
- I will inform PPS Investments if any of the information supplied changes
- I have not received advice from PPS Investments or the Administrator
- The Effective Annual Cost disclosure is available from my financial adviser, the PPS Investments website or from the Client Services Centre.

and I have read, understood and agreed to:

- The Product Terms, Conditions and Declarations which may change from time to time

Signature of investor

Date

E FINANCIAL ADVISER DECLARATION

Financial adviser name

Financial adviser institution

Financial adviser code

To ensure fair outcomes for investors, we (as the product supplier) are required to ensure appropriate sharing of responsibility between ourselves and you (the financial adviser). As such, we request that you provide the following assurance to us:

- I confirm that I have concluded the analysis necessary to provide appropriate advice (which is both suitable for the investor and takes into account their circumstances) with respect to the product suppliers products considered and selected.
- I declare that I am a licensed Financial Services Provider and have made the disclosures required in terms of the Collective Investment Schemes Control Act, No. 45 of 2002; the Financial Advisory and Intermediary Services Act, No. 37 of 2002; and all subordinate legislation to the investor.
- I acknowledge and confirm that, in my capacity as the primary accountable institution (with the Administrator being the secondary accountable institution), I have established and verified the identity of the investor in accordance with section 21 of the Financial Intelligence Act, 2001 ("the Act") and will keep records of such identification and verification according to the provisions of section 22 of the Act.
- I warrant that I have explained all fees to the investor, including but not limited to the Effective Annual Cost (EAC), that relate to this investment and I understand and accept that the investor may withdraw his / her authority for payment of advice fees in writing to PPS Investments.

Signature of financial adviser

Date

PPS Investments (Pty) Ltd, PPS Multi-Managers (Pty) Ltd, PPS Investment Administrators (Pty) Ltd are licensed financial services providers.
PPS Management Company (RF) (Pty) Ltd is a licensed collective investment scheme manager.
PPS Nominees (Pty) Ltd is an independent nominee company approved by the Financial Sector Conduct Authority.

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