

PPS MEMBER APPLICATION FOR LINKING

The Professional Provident Society Holdings Trust No IT 312/2011
PPS is an authorised financial services provider.



PART A: Important information regarding profit allocations under linked qualifying assets

- Only PPS members with an active PPS Profit-Share Account or Vested PPS Profit-Share Account will qualify for linking to a Child or Spouse's qualifying assets held with PPS Investments.
- The assets used to calculate your profit allocations from PPS Investments may now include the assets of an investor linked to your PPS member number as at the financial year end (31 December). These include and are limited to the assets of a linked investor of whom you are the Spouse, parent or guardian (where the investor is a Child as defined in this form).
- PPS members can only be linked to a Spouse and/or Child's PPS Investments client number as defined under the declaration in the application form.
- The investment size of the linked Child and/or Spouse will be included in the investment size of the eligible PPS member during the PPS member's annual profit allocation calculation.
- The linking of a PPS member number to the PPS Investments client number of a Spouse and/or Child will only apply to the profit contributions related to qualifying assets with PPS Investments. It will not apply to any other PPS Group products.
- A linked Spouse and/or Child will have no claim to any historical or future profit allocations made to the PPS member.
- The status of actively-linked accounts will be determined on the last business day of the financial year irrespective of the status during the relevant financial year. The date of the linking application approval in a financial year does not impact the profit allocation calculation and this is determined purely with reference to the linking status at the last business day of the financial year.
- Proof of relationship to a Spouse and/or Child is required before the linking application can be processed. PPS may request additional proof of relationship at any stage thereafter. PPS also holds the right to reject linking applications and to remove the linking status in future should sufficient proof of the relationship not be provided upon request.
- PPS will remove the linking upon receipt of a written request, completed in the format as prescribed by PPS from time to time, from a Spouse and/or Child (or his/her parent or legal guardian).

PART B: PPS member information

Title: Surname:
First name:
ID number:
PPS member number:

PART C: Information on Spouse

Proof of relationship required with the application

- Marriage certificate

A "Spouse" shall mean a person to whom the PPS member to be linked is legally married at the date of application for linking. A "Marriage" for the purpose of linking of a PPS member number shall be restricted to the following relationships:

- Civil Marriage concluded and duly registered in terms of the Marriage Act of 1961; or
- Customary Marriage concluded and duly registered in terms of the Customary Marriages Act of 1998; or
- A Civil Union, in the form of either a marriage or civil partnership, concluded and duly registered in terms of the Civil Union Act of 2006;

and for which a valid marriage certificate can be produced.

Title: Surname:
First names:
ID number:
PPS Investments client number:

For completion by Spouse

I, , PPS Investments client number,

hereby acknowledge that I am aware that the following PPS member , is submitting this application

to have their PPS member number linked to my PPS Investments client number and that I am the Spouse of the PPS member applying for linking. I also hereby consent to the sharing of my personal information, as defined in any applicable legislation, with the PPS member making this application. Please note that no information will be shared with respect to any transactional investment information or investment statements with the PPS member making this application.

I understand that if I become a PPS member I will not receive profit allocations for qualifying assets. The PPS member linked to these accounts will receive profit allocations on these qualifying assets until the submission of a request for the link to be removed from the PPS member number. In this event I may apply to be linked to the qualifying assets of my own PPS member number.

Signed at on this day of 20

Signature of Spouse

PART D: Information on Children

Proof of relationship required with the application:

- Unabridged birth certificate (or affidavit stating that it is your Child); or
- Adoption court order; or
- A marriage certificate for step children can be added with either of the above.

A "Child" shall mean a person who is either a) a biological Child, b) a legally-adopted Child, or c) a step Child of the PPS member to be linked and who is the biological or legally-adopted Child of the Spouse of the PPS member to be linked at the date of linking application, and who is below the age of 21 years.

	Name of Child	Surname of Child	PPS Investments client number
1			
2			
3			
4			

For completion by parent/guardian (where the Child is under 18 years of age)

I, , on behalf of,

(name of Child/Children) hereby acknowledge that I am aware that the following PPS member, ,

is submitting this application to have their PPS member number linked to the PPS Investments client number(s) above and that I am the parent/guardian of the Child/Children. I also hereby consent to the sharing of the Child's/Children's personal information, as defined in any applicable legislation, with the PPS member making this application. Please note that no information will be shared with respect to any transactional investment information or investment statements with the PPS member making this application.

Signed at on this day of 20

Signature of parent/guardian

For completion by Child (where the Child is between the ages of 18 and 21 years)

I, , PPS Investments client number,

hereby acknowledge that I am aware that the following PPS member , is submitting this application

to have their PPS member number linked to my PPS Investments client number and that I am the Child of the PPS member applying for linking. I also hereby consent to the sharing of my personal information, as defined in any applicable legislation, with the PPS member making this application. Please note that no information will be shared with respect to any transactional investment information or investment statements with the PPS member making this application.

Signed at on this day of 20

Signature of the Child

PART E: Declaration by PPS member

I, the undersigned, agree and declare that:

1. I am applying for my PPS member number to be linked to the PPS Investments client number(s) of my Spouse and/or Child (Children) indicated on this application.
2. I am aware that linking my PPS member number to a PPS Investments client number will not automatically lead to qualification for profit allocations based on my Spouse and/or Child's (Children's) investment size, and that only PPS members with active PPS Profit-Share Accounts or Vested PPS Profit-Share Accounts will qualify for profit allocations.
3. The PPS Investments client number(s) for which I am applying to have linked to my PPS member number are held in the name of my Spouse and/or Child (Children) as defined as per below:

A "Spouse" shall mean a person to whom the PPS member to be linked is legally married at the date of application for linking. A "Marriage" for the purpose of linking of a PPS member number shall be restricted to the following relationships:

- Civil Marriage concluded and duly registered in terms of the Marriage Act of 1961; or
- Customary Marriage concluded and duly registered in terms of the Customary Marriages Act of 1998; or
- A Civil Union, in the form of either a marriage or civil partnership, concluded and duly registered in terms of the Civil Union Act of 2006;

and for which a valid marriage certificate can be produced.

A "Child" shall mean a person who is either a) a biological Child, b) a legally-adopted Child, or c) a step Child of the PPS member to be linked and who is the biological or legally-adopted Child of the Spouse of the PPS member to be linked, at the date of the linking application and who is below the age of 21 years.

4. I agree that PPS may, upon receipt of a linking application, request the PPS member to submit such proof as PPS may, in its sole discretion, require in order to satisfy itself that the requirements for the linking of the PPS Investments client number have been complied with. This will include, but may not necessarily be limited to, proof of relationship and/or a copy of the marriage certificate pertaining to the Spouse. This will also include, but may not necessarily be limited to, proof of relationship, a copy of the unabridged birth certificate of the Child, a copy of the official adoption court order and/or official proof of the registration of the adoption with the Registrar of Adoptions.
5. The assets used to calculate my profit allocations from PPS Investments may now include the assets of an investor linked to my PPS member number as at the financial year end (31 December). These include and are limited to the assets of a linked investor of whom I am the Spouse, parent or guardian (where the investor is a Child as defined in this form).
6. I understand that I will need to hold an active PPS Profit-Share Account or Vested PPS Profit-Share Account in order to qualify for linking and that upon cancelation of my PPS Profit-Share Account my linking to PPS Investments client number(s) will be removed.
7. I understand that the linking to PPS Investments client number(s) will only apply to the profit contributions related to qualifying assets with PPS Investments. It will not apply to any other PPS Group products.
8. I understand that the account holder(s) of the PPS Investments client number(s) that I am being linked to will not have any claim to historical or future profit allocations I received due to my PPS member number being linked to their accounts.
9. I understand that the qualifying assets of the PPS Investments client number that I am linked to will only be included in a financial year's profit allocation calculation if I am actively linked at the last business day of the relevant financial year end, irrespective of the linking status during the year.
10. I understand that PPS Investments will unlink me from any PPS Investments client number upon receipt of a written request from the account holder of the linked PPS Investments client number.
11. I am aware that only one PPS member number may be linked to a PPS Investments client number and that if a PPS Investments client number has a pre-existing link, any linking requests will be rejected until a written request is received for the existing linking to be removed.
12. I declare that the account holder of the PPS Investments client number that I am applying to be linked to is aware of this linking request and has completed section C and/or D of this application form. I understand that I do not have any rights or obligations to the investment accounts of the PPS Investments client number(s) that I am being linked to.
13. I am aware that my PPS member number will be unlinked from all PPS Investments client numbers upon my death or upon cancelation of my PPS Profit-Share Account or Vested PPS Profit-Share Account.
14. I confirm that the information contained herein is correct.
15. I understand that profit allocations, which include the allocations in respect of linked qualifying assets, are governed by the provisions of the PPS Provider Policy and are subject to change.

Signed at on this day of 20

Applicant's signature